### FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]

Name of the Registrar and Transfer Agent



## **Annual Return**

(other than OPCs and Small Companies)

	anguage ● English ← Hind the instruction kit for filing the f				
I. RE	GISTRATION AND OTH	ER DETAILS			
(i) * Co	orporate Identification Number	(CIN) of the company	U6601	0WB1932PLC007490	Pre-fill
G	obal Location Number (GLN)	of the company			
* P	ermanent Account Number (P <i>i</i>	AN) of the company	AABC	Г3043L	
(ii) (a)	Name of the company		THE PI	EERLESS GENERAL FINAN	
(b)	Registered office address				
K   V   7	PEERLESS BHAVAN,3 ESPALANDE COLKATA Vest Bengal CO0069 India	EAST,			
(c)	*e-mail ID of the company		K.BAL	ASUBRAMANIAN@PEERL	
(d)	*Telephone number with STD	code	03322	483247	
(e)	Website		www.	peerless.co.in	
iii)	Date of Incorporation		25/10	/1932	
v)	Type of the Company	Category of the Company		Sub-category of the	Company
	Public Company	Company limited by sh	ares	Indian Non-Gov	ernment company
/) Wh	ether company is having share	capital	Yes	O No	
ıi) *Wl	nether shares listed on recogn	ized Stock Exchange(s)	Yes	<ul><li>No</li></ul>	
(b	) CIN of the Registrar and Trai	nsfer Agent	(17414	10WB1994PTC062959	Pre-fill

	CB M	MANAGEMENT S	ERVICES PRIV	ATE LIMITED				
	Regi	istered office a	ddress of the	Registrar and Tr	ransfer Agents			
	- 1	BONDAL ROAD KATA	).					
(vii)	) *Fina	ncial year Fror	n date 01/04	4/2020	(DD/MM/YYY	Y) To date	31/03/2021	(DD/MM/YYYY)
(viii	) *Whe	ether Annual g	eneral meeti	ng (AGM) held	•	Yes 🔘	No	1
	(a) If	yes, date of A	GM	24/09/2021				
	(b) D	oue date of AG	М	30/09/2021				
	(c) W	Vhether any ex	tension for A	GM granted		○ Yes	<ul><li>No</li></ul>	
II. I	PRIN	CIPAL BUS	INESS AC	TIVITIES OF	THE COMPA	NY		
		umber of busin		·				
	S.No	Main E	escription of	f Main Activity gro	oup Business Activity	Description	of Business Activity	% of turnove

	Main Activity group code		Business Activity Code	,	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

# III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given	. 7	Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	PEERLESS FINANCIAL PRODUC	U70109WB1987PLC042819	Subsidiary	100
2	PEERLESS HOSPITEX HOSPITAL	U85110WB1989PLC046938	Subsidiary	93.64
3	PEERLESS HOTELS LTD.	U55101WB1990PLC049988	Subsidiary	99.95
4	PEERLESS SECURITIES LTD	U67120WB1995PLC067616	Subsidiary	97.54
5	PEERLESS FINANCIAL SERVICES	U65993WB1988PLC044077	Subsidiary	94.11
6	PEERLESS COMMODITIES LIMIT	U74900WB2013PLC196191	Subsidiary	98.6
7	BENGAL PEERLESS HOUSING D	U70101WB1994PLC063280	Joint Venture	36.7

### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	3,500,000	3,315,584	3,315,584	3,315,584
Total amount of equity shares (in Rupees)	350,000,000	331,558,400	331,558,400	331,558,400

Number of classes 1

Class of Shares	Authoricad	Icabilai	Subscribed capital	Paid up capital
Number of equity shares	3,500,000	3,315,584	3,315,584	3,315,584
Nominal value per share (in rupees)	100	100	100	100
Total amount of equity shares (in rupees)	350,000,000	331,558,400	331,558,400	331,558,400

#### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes 0

	1 A + la a wi a a al	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

#### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

#### (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			

At the beginning of the year	3,216,732	98,852	3315584	331,558,400	331,558,40	
Increase during the year	0	0	0	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	3,216,732	98,852	3315584	331,558,400	331,558,40	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0

i. Redemption of shares		0	0	0	0	0	0
ii. Shares forfeited		0	0	0	0	0	0
iii. Reduction of share capi	tal	0	0	0	0	0	0
iv. Others, specify		1					
At the end of the year							
		0	0	0	0	0	
SIN of the equity shares	of the company						
(ii) Details of stock spl	it/consolidation during th	e year (for ea	ch class of	shares)	0		
Class o	f shares	(i)		(ii)		(ii	i)
Before split /	Number of shares						
Consolidation	Face value per share						
After split /	Number of shares						
Consolidation	Face value per share						
of the first return a	es/Debentures Trans It any time since the Vided in a CD/Digital Medi	incorporat	ion of the			year (or i	
Separate sheet at	tached for details of trans	fers	$\circ$	Yes 🔘	No		
Note: In case list of tran Media may be shown.	sfer exceeds 10, option fo	r submission a	as a separat	e sheet attach	nment or sub	omission in	a CD/Digital
Date of the previous	s annual general meetin	g					
Date of registration	of transfer (Date Month	Year)					
Type of transfe	er	1 - Equity,	2- Preferer	nce Shares,3	- Debentu	res, 4 - Sto	ock
	l	1					

Number of Shares/ E Units Transferred	Debentures/		Amount per Share/ Debenture/Unit (in Rs	.)
Ledger Folio of Trans	sferor			
Transferor's Name				
	Surna	ame	middle name	first name
Ledger Folio of Trans	sferee			
Transferee's Name				
	Surna	ame	middle name	first name
Date of registration of				
Type of transfe	r	1 - Ec	quity, 2- Preference Shares,3	- Debentures, 4 - Stock
Number of Shares/ E Units Transferred	Debentures/		Amount per Share/ Debenture/Unit (in Rs	.)
Ledger Folio of Trans	sferor			
Transferor's Name				
	Surna	ame	middle name	first name
Ledger Folio of Trans	sferee			
Transferee's Name				
	Surna	ame	middle name	first name
(iv) *Debentures (Οι	ıtstanding a	s at the end o	f financial year)	1

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

#### **Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	_	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

Number of	Nominal Value of	Total Nominal	Paid up Value of	Total Paid up Value
Securities	each Unit	Value	each Unit	
			7	

## V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

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н						₩.	_	
۸	ш,	) T	u		•	v	•	

2,345,499,047

0

### (ii) Net worth of the Company

18,425,815,759

### VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equ	ity	Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,220,523	36.81	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	

	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,017,861	30.7	0	
10.	Others	0	0	0	
	Total	2,238,384	67.51	0	0

**Total number of shareholders (promoters)** 

## (b) \*SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equi	ity	Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	530,288	15.99	0	
	(ii) Non-resident Indian (NRI)	124,800	3.76	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	330,720	9.97	0	
10.	Others Investor Education & Protec	91,392	2.76	0	
	Total	1,077,200	32.48	0	0

Total number of shareholders (other than promoters)

Total number of shareholders (Promoters+Public/
Other than promoters)

71

## VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	10	10
Members (other than promoters)	152	61
Debenture holders	0	0

#### VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

#### (A) \*Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	1	1	1	20.16	9.79
B. Non-Promoter	3	5	3	5	0	0
(i) Non-Independent	3	2	3	2	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	4	6	4	6	20.16	9.79

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

#### (B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SUSIM MUKUL DATTA	00032812	Director	0	
SUNIL KANTI ROY	00043966	Managing Director	668,448	
BHARGAB LAHIRI	00043772	Managing Director	0	
DEEPAK KUMAR MUK	00046690	Director	0	
PARTHO SAROTHY D/	00040345	Director	0	
JAYANTA ROY	00022191	Director	324,640	
DIPANKAR CHATTERJ	00031256	Director	0	
SOUMENDRA MOHAN	01125409	Director	0	
SAMAR BHATTACHAR	00044294	Whole-time directo	0	
ASOKE KUMAR MUKH	00173745	Whole-time directo	0	
ASOKE KUMAR MUKH	AEMPM5995E	CFO	0	
KRISHNAMURTI BALA:	ACYPB5961B	Company Secretar	0	

#### (ii) Particulars of change in director(s) and Key managerial personnel during the year

Name
DIN/PAN
Designation at the beginning / during the financial year

Date of appointment/ change in designation/ cessation

Nature of change (Appointment/ Change in designation/ Change in designation/ Change in designation)

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

0

Number of meetings held 2

Type of meeting	Date of meeting	Total Number of Members entitled to	Attendance		
		attend meeting		% of total shareholding	
Extraordinary General Meet	06/08/2020	108	7	40.62	
Annual General Meeting	28/12/2020	108	15	67.65	

#### **B. BOARD MEETINGS**

*Number of meetings held	5	
--------------------------	---	--

S. No.	Date of meeting	Total Number of directors associated as on the date			
		of meeting	Number of directors attended	% of attendance	
1	06/08/2020	10	6	60	
2	19/09/2020	10	9	90	
3	20/11/2020	10	8	80	
4	16/12/2020	10	10	100	
5	31/03/2021	10	10	100	

#### C. COMMITTEE MEETINGS

Number of meetings held	15
-------------------------	----

S. No.	Type of meeting		Total Number of Members as	Attendance			
	3	Date of meeting	on the date of the meeting	Number of members attended	% of attendance		
1	Audit Committe	17/09/2020	3	3	100		
2	Audit Committe	20/11/2020	3	2	66.67		
3	Audit Committe	15/12/2020	3	3	100		
4	Audit Committe	30/03/2021	3	3	100		
5	Nomination an	15/09/2020	3	3	100		
6	Nomination an	16/12/2020	3	3	100		
7	takeholders Re	17/03/2021	3	2	66.67		
8	Corporate Soc	18/09/2020	4	3	75		
9	Corporate Soc	07/01/2021	4	3	75		

S. No.	Type of meeting	Date of meeting		Number of members attended	Attendance % of attendance
10	Corporate Soc	17/03/2021	4	3	75

### D. \*ATTENDANCE OF DIRECTORS

		Board Meetings			Co	Whether attended AGM		
S. No.	of the director	Number of Meetings which director was	Mastings	% of	Number of Meetings which director was	Number of Meetings	% of	held on
			attended	attendance	entitled to attend	attended	attendance	24/09/2021
								(Y/N/NA)
1	SUSIM MUKU	5	4	80	6	6	100	No
2	SUNIL KANTI	5	3	60	7	1	14.29	Yes
3	BHARGAB LA	5	5	100	9	9	100	Yes
4	DEEPAK KUM	5	4	80	13	13	100	Yes
5	PARTHO SAR	5	4	80	8	7	87.5	Not Applicable
6	JAYANTA RO	5	5	100	1	1	100	Yes
7	DIPANKAR CI	5	3	60	2	1	50	Yes
8	SOUMENDRA	5	5	100	0	0	0	Yes
9	SAMAR BHAT	5	5	100	4	4	100	Yes
10	ASOKE KUMA	5	5	100	2	2	100	Yes

#### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

		ı

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUNIL KANTI ROY	MANAGING DIF	24,257,230	35,000,000	0	0	59,257,230
2	BHARGAB LAHIRI	DEPUTY MANA	16,476,926	15,000,000	0	0	31,476,926
3	ASOKE KUMAR MU	DIRECTOR-FIN	10,365,482	7,500,000	0	0	17,865,482
4	SAMAR BHATTAC	DIRECTOR-COI	9,460,118	7,500,000	0	0	16,960,118
	Total		60,559,756	65,000,000	0	0	125,559,756

	Nama	Designation	Cross Calami	Commission	Stock Option/	O45	Total	
S. No.	Name	Designation	Gross Salary	Commission	Sweat equity	Others	Amount	
1	KRISHNAMURTI BA	COMPANY SEC	5,303,585	0	0	0	5,303,58	
	Total		5,303,585	0	0	0	5,303,585	
ımber o	of other directors whose	e remuneration deta	ils to be entered			6		
S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount	
1	SUSIM MUKUL DA	CHAIRMAN	0	2,500,000	0	225,000	2,725,000	
2	DEEPAK KUMAR N	INDEPENDENT	0	2,000,000	0	315,000	2,315,000	
3	PARTHO SAROTH	INDEPENDENT	0	1,500,000	0	225,000	1,725,000	
4	SOUMENDRA MOH	INDEPENDENT	0	1,500,000	0	195,000	1,695,000	
5	JAYANTA ROY	DIRCTOR	0	1,500,000	0	225,000	1,725,00	
6	DIPANKAR CHATT	DIRECTOR	0	1,500,000	0	150,000	1,650,00	
	Total		0	10,500,000	0	1,335,000	11,835,00	
MATT	ERS RELATED TO CE	KTIFICATION OF	COMPLIANCES	AND DISCLOSURE	=5			
A. Wh pro	ether the company has visions of the Compani lo, give reasons/observanty AND PUNISHME	made compliances es Act, 2013 during rations  NT - DETAILS TH	s and disclosures the year	in respect of applica	able Yes	○ No		

Name of the cou concerned company/ directors/ officers		Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)							
XIII. Whether comp	lete list of sharehold	ders, debenture h	olders has been enclos	ed as an attachme	nt							
Yes     No												
XIV. COMPLIANCE	OF SUB-SECTION (	2) OF SECTION 9	2, IN CASE OF LISTED	COMPANIES								
			are capital of Ten Crore r rtifying the annual return		urnover of Fifty Crore rupees or							
Name	SAUF	RABH BASU										
Whether associate	e or fellow	<ul><li>Associa</li></ul>	ate ( ) Fellow									
Cartificate of pro-	otico numbor											
Certificate of prac	clice number	14347										
Act during the financ		ie contrary eisewn	ere in uns return, the oc	лпрапу наз сотпрпе	ed with all the provisions of the							
		Dec	aration									
I am Authorised by t	he Board of Directors	of the company vi	de resolution no 7	d	ated 25/10/2021							
			uirements of the Compar dental thereto have been		he rules made thereunder ther declare that:							
					no information material to ords maintained by the company.							
2. All the requ	iired attachments hav	e been completely	and legibly attached to t	his form.								
			on 447, section 448 and nd punishment for false		nies Act, 2013 which provide for tively.							
To be digitally sign	ed by											
Director	BHAF B LAI											
DIN of the director	0004	43772										
To be digitally sign												

<ul><li>Company Secretary</li></ul>	,			
Company secretary	in practice			
Membership number	4428	Certificate of	of practice number	
Attachments				List of attachments
1. List of shar	re holders, debentur	e holders	Attach	Shareholder list with Note.pdf
2. Approval le	etter for extension of	AGM;	Attach	MGT 8.pdf NOTE.pdf
3. Copy of Mo	GT-8;		Attach	Committee Meeting details.pdf
4. Optional A	ttachement(s), if any	<i>'</i>	Attach	
				Remove attachment
	Modify	Check Form	Prescrutiny	Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company





# THE PEERLESS GENERAL FINANCE & INVESTMENT COMPANY LIMITED CIN (U66010WB1932PLC007490)

PERIOD - 01/04/2020 - 31/03/2021

### ADDITIONAL INFORMATION IN RESPECT OF THE COMPANY WHICH COULD NOT BE FILLED UP IN FORM MGT - 7 FOR THE RELEVANT PERIOD

#### 1. Para IX (C) of MGT-7 **Committee Meetings**

SL. No	Type of meeting	Date of meeting	Total Number of Members as on the date of the	Number of members attended	% of attendance		
11.	Corporate Social Responsibility Committee	26.03.2021	meeting 4	3	75		
12.	Investment Committee	15.12.2021	4	4	100		
13.	Banking Committee	17.03.2021	3	2	66.67		
14.	IT Strategy Committee	06.08.2020	4*	3**	75		
15.	IT Strategy Committee	09.10.2020	4*	4**	100		

\*\*Director-Members

Certified to be a true Copy For & on behalf of The Feerless General Finance

& Investment Co. Ltd.

\*\*Director-Members present

Company Secretary

The Peerless General Finance & Investment Company Limited

Peerless Bhavan, 3, Esplanade East, Kolkata 700 069 • Phones: 033-2230 3740, 2248 3001/3247, 2243 0325/6758 Fax: 033-2248 5197 • Email: feedback@peerless.co.in • Website: www.peerless.co.in CIN: U66010WB1932PLC007490



Practising Company Secretary
Insolvency Professional

S BASU & ASSOCIATES

Company Secretaries Code No.- S2017WB456500 10/6/2 Raja Rammohan Roy Road, 3<sup>rd</sup> Floor, Kolkata - 700008

#### FORM NO. MGT.8

## CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

We have examined the registers, records, books and papers of M/s THE PEERLESS GENERAL FINANCE & INVESTMENT COMPANY LIMITED (CIN: U66010WB1932PLC007490) having registered office at Peerless Bhavan,3 Espalande East, Kolkata 700069 (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31<sup>st</sup>March, 2021 (Year under Review ). In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, it's officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. As per records available and explanation provided, during the aforesaid financial year the Company has complied with provisions of the Act &Rules made there under in respect of:
  - Its status under the Act : Active ;
  - Maintenance of registers/records & making entries therein within the time prescribed therefor;
  - Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time;
  - 4. Calling/convening/holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed

Closure of Register of Members/Security holders, as the case may be.

Page 1 of 4

## **Continuation Sheet**

#### S BASU & ASSOCIATES

Company Secretaries Code No.- S2017WB456500

- Advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act--- There were no such instances during the year under review;
- 7. Contracts/arrangements with related parties as specified in section 188 of the Act;
- Issue or allotment or transfer or transmission or buy back of securities/redemption
  of preference shares or debentures/alteration or reduction of share
  capital/conversion of shares/securities and issue of security certificates in all
  instances There were no such instances during the year under review;
- Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the act ---There were no such instances during the year under review;
- Declaration/payment of dividend; transfer of unpaid/unclaimed dividend/other amounts as applicable to the investor education and protection fund in accordance with Section 125 of the act;
- Signing of audited financial statement as per the provisions of section 134 of the act and report of directors is as per sub - sections (3), (4) and (5) thereof;
- 12. Constitution/appointment/re-appointments/retirement/filling up casual vacancies/ disclosures of the directors, key managerial personnel and the remuneration paid to them--- During the year under review the company has complied with provisions relating to Constitution/appointment/re-appointments/retirement/ disclosures of the directors, Key Managerial Personnel and the remuneration paid to them. There was no instances of filling of casual vacancy of Directors and Key Managerial Personnel
- 13. Appointment/reappointment/filling up casual vacancies of auditors as per the provisions of section 139 of the act---- During the year under review the company has complied with the provisions relating to appointment /re-appointments of Auditor as per provisions of Section 139 of the Act. There was no instance of filling up of casual vacancy of Auditors;
- Approvals required to be taken from the central government, tribunal, regional director, registrar, court or such other authorities under the various provisions of the act;

## **Continuation Sheet**

## S BASU & ASSOCIATES

Company Secretaries Code No.- \$2017WB456500

- 15. Acceptance/renewal/repayment of deposits--During the year under review the Company has not accepted/ renewed any deposit. However, the company has made repayment of deposits. The Company has transferred Rs. 125.55 Million during the period under review unclaimed/unpaid amount of deposit of the Company to the Investor Education and Protection Fund (IEPF) as and when due
- 16. Borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable There were no such instances during the year under review;
- 17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act There were no such instances during the year under review;
- Alteration of the provisions of the memorandum and/or articles of association of the company -There were no such instances during the year under review;

For S Basu & Associates
Company Secretaries
Firm Registration No. S2017WB456500

Place: Kolkata Date: 17.11.2021

Saurabh Basu Proprietor

ACS: 18686 ; C.P.: 14347 Peer Review No : 1017/2020 UDIN: A018686C001458223

## **Continuation Sheet**

S BASU & ASSOCIATES

Company Secretaries Code No.- S2017WB456500

#### "ANNEXURE A"

The Certificate (MGT 8) for the financial year ended 31<sup>st</sup> March,2021 of even date is to be read along with this Annexure:

- Maintenance of Secretarial records is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records, systems, standards and procedures based on our verification and observation.
- 2. We have followed the general practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done in some cases on the test basis to ensure that correct facts are reflected in Secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
- We have not verified the correctness and appropriateness of financial records and books of accounts of the Company.
- 4. The Hon'ble Calcutta High Court on 10<sup>th</sup> July,2019 had stayed all operations of IEPF Authority regarding penal interest. According to Management, this stay order is continued as no further order is being given by Hon'ble Calcutta High Court in the matter.
- The Company has filed compounding petitions before competent Authority of MCA pursuant to letter of MCA dt 19.09.20 seeking certains clarification on Inspection carried on by MCA u/s 206(5) of the Companies Act,2013
- Where ever required, we have obtained the Management representation about compliance of laws, rules and regulations and happenings of events etc.

For S Basu & Associates

**Company Secretaries** 

Firm Registration No: S2017WB456500

Place: Kolkata Date: 17.11.2021

Saurabh Basu Proprietor

ACS: 18686 ; C.P.: 14347 Peer Review No : 1017/2020

UDIN: A018686C001458223





#### NOTE

#### With reference to IV (d) (i) in the Form MGT 7

At the beginning of the year the actual share capital break up was as follows:

Physical holding: 3216946

Demat holding: 98638

Total holding: 3315584

At the end of the year the actual share capital break up was as follows:

Physical holding: 3216732

Demat holding: 98852

Total holding: 3315584

The change in holding is due to dematerialisation of physical shares during the year.

In the Form, same figures for physical holding and demat holding, both at the beginning of the year and at the end of the year, had to be mentioned since none of the columns was applicable to the Company to enter the changes.

Certified to be a true Copy For & on behalf of

The Peerless General Finance

& Investment Co. Ltd.

Company Secretary

The Peerless General Finance & Investment Company Limited

Peerless Bhavan, 3, Esplanade East, Kolkata 700 069 • Phones : 033-2230 3740, 2248 3001/3247, 2243 0325/6758 Fax : 033-2248 5197 • Email : feedback@peerless.co.in • Website : www.peerless.co.in CIN : U66010WB1932PLC007490







## NOTE

The Shareholder details of The Peerless General Finance & Investment Company Limited as on 31.03.2021, as received from the Company's Registrar and Share Transfer Agents, C B Management Services Private Limited is attached.

Certified to be a true Copy

For & on behalf of

The Reepless General Finance

& Investment Co. Ltd

Company Secretar

The Peerless General Finance & Investment Company Limited

Peerless Bhavan, 3, Esplanade East, Kolkata 700 069 • Phones : 033-2230 3740, 2248 3001/3247, 2243 0325/6758 Fax : 033-2248 5197 • Email : feedback@peerless.co.in • Website : www.peerless.co.in CIN : U66010WB1932PLC007490



THE PEERLESS GENERAL FINANCE & INVESTMENT COMPANY LTD

LIST OF SHARE	HOLDERS AS ON 31-03-2021																					
FOLIO_NO	NAME				COUNT_NO BANK_NAME BRANCH_NAME MICR_CODE ECS_			ADD2	ADD3	ADD4 A	DD5 JT1 JT2 JT3	JT4 BANK_ADDRESS2	BANK_ADDRESS3	BANK_ADDRESS_PIN FF		DCUPTN FIRST_HOLDER_FH_HB_NAME	BEN_PHONE I	BEN_FAX SEC_HOLDER_PAN THIRD_HOLDER_I	AN IFSC	FIRST_HOLDER_EMAIL_ID	SECOND_HOLDER_EMAIL_ID	THIRD_HOLDER_EMAIL_ID
000004	Nabadwip Chandra Saha	1280 E	01	700007			1 "10, Raja Brajendra Narayan Roy Street, "	Calcutta							31-03-2021							
000037	Birendra Chandra Das Guota	1920 E	01	0			1 "C/o M/s.N P Rov's Veneers."	P.O. Jevoore	Assam						31-03-2021							
000049	Domineos Francisco Romano Roque Saldanha	320 E	01	400050			1 "Golden Palace. 4th Floor."	"Flat 43. Turner Road. Bandra"	Bombav						31-03-2021							
000050 000052	Diogo Francisco Xavier Fernandes Anacleto Reis Magos Fernandes	20 E 2560 E	01	403504			1 "House No.53. Auchit." 1 Flat MI 34 Housing Board Colony Porvorim	"Vaddo. Bicholim." Rardez	Goa Goa						31-03-2021 31-03-2021							
000052	Adelina Catarina Pereira	640 E	01	403725			Villa Lourdes House No.179 Oromorod Sirlin P.O. Dr	Salcete	Goa						31.03.2021							
000099	Tushar Kanti Roy	256 A	07	700029			1 "Lake Towers, "	"87 Southern Avenue, "	Calcutta						31-03-2021					tkroys@rediffmail.com		
000102	Shikha Roy	81600 E	01	700029			1 "91/1 Southern Avenue,"	Calcutta							31-03-2021					shikharoy1950@gmail.com		
000103	George Inancio Loyola Coutinho	1280 E	01	403601			1 C/o I.J. Coutinho BEM PAX Vidyanagar Colony Lane-1	Aquem Alto Margao	Goa						31-03-2021					lojenijoke2381@gmail.com		
000104	Mrinal Kanti Ghosh	896 E	01	743145			1 184/6 BASANTA BABU ROAD	P.O. KANCHRAPARA	DIST. NORTH 24 PARGANAS	i.					31-03-2021					aghosh087@gmail.com		
000105	Florizel Antonio Fernandes	640 A	07	0			1 "Sambatty,"	"Orlim, Salcete, "	Goa						31-03-2021							
000107	R L Gaggar	179200 E	01	700001			1 "6 Old Post Office Street, "	Calcutta							31-03-2021					rlgaggar@hotmail.com		
000108	Tuhin Kanti Ghosh	225920 E	01	700020			1 "2 Rowland Road,"	Calcutta							31-03-2021					mail@snehindia.org		
000109	Bhagwati Developers Pvt Ltd	112480 E	01	700038			1 "48 S N Roy Road,"	Kolkata							31-03-2021					peerless1932@gmail.com		
000110	Chinmov Kumar Chaudhuri	320 E	01	700091 700079			1 "BL 395. Salt Lake City."	Kolkata							31-03-2021					chinmovkumarchaudhuri@email.com		
000111 000112	Javanta Rov Shikha Holdings Pvt. Ltd	324640 E 630192 E	01	700029 700001			1 "91/1 Southern Avenue." 1 Room No.H-526 Diamond Heritage 5th Floor 16 Strand	Calcutta Kolkata							31-03-2021 31-03-2021					ir@geerless.co.in shpl88@gmail.com		
000112	Bichitra Holdings Pvt. Ltd	327669 E	01	700001			Room No.H-526 Diamond Heritage 5th Floor 16 Strand     Room No.H-526 Diamond Heritage 5th Floor 16 Strand	Kolkata							31-03-2021					bhol88@amail.com		
000113	Debasree Rov	108256 E	01	700001			1 "91/1 Southern Avenue."	Calcutta							31-03-2021					debasree.phi@peerlesshotels.com		
000114	Albertina Mendes	640 E	01	700014			1 "P-67. CIT Road, Scheme No.52."	Kolkata			Donaldo Mendi				31-03-2021					debaree.pmg-peenermores.com		
000117	Vanita Vadilal Bhimani	200 E	01	700001			1 C/o. Kanji Pannachand Pvt. Ltd. 33 Armenian Street	Kolkata			DOILE CO INCIDE				31-03-2021					vatin bhimani@hotmail.com		
000117	Theresa Mendes	640 E	01	700014			1 "P-67 C I T Road."	Calcutta			Albertina Mend				31-03-2021					theresamendes@yahoo.co.uk		
000119	Ketaki Pawar	640 F	01	700029			1 "Flat No.3B, 190 Sarat Bose Road,"	Calcutta							31.03.2021					jhuku@icloud.com		
000120	Surva Jvati Chaudhuri	160 E	01	700091			1 "BL-395, Salt Lake City,"	Kolkata							31-03-2021					drsurya85@rediffmail.com		
000121	Effie Carvalho E Lobo	640 E	01	400068			1 Nand Dham Flat 6ZE 6th Floor Bhausahile	Parale Road Dahisar (West)	Bombay						31-03-2021							
000122	Basanti Modak	768 E	01	784113			1 "Vill. Kopati Bazar, P.O. Kopati, "	"Dist. Darrang,"	Assam.		Dibakar Modak				31-03-2021					modakdibakar39@gmail.com		
000124	Sunil Kanti Roy	668448 E	01	700029			1 "91/1 Southern Avenue, "	Calcutta			Shikha Roy				31-03-2021					md pgfi@peerless.co.in		
000125	Javant Dattoba Prataprao Sardesai	3200 E	01	403711		Physical	1 "Adarsh Nagar, Airport Road, "	"Chicalim."	Goa						31-03-2021					idnosardesai@email.com		
000128	Prabir Kumar Paul	640 E	01	700032			1 "8/15 Belovzarh (2nd Floor)."	"P.O. Jadavour University."	Calcutta		Bata Krishna Pa				31-03-2021					orabirkumar.paul1964@email.com		
000133	Shikha Roy	3019 E	01	700029			1 "91/1 Southern Avenue,"	Kolkata			Debasree Roy				31-03-2021					shikharoy1950@gmail.com		
000134	Debasree Roy	34304 E	01	700029			1 "91/1 Southern Avenue,"	Kolkata			Shikha Roy				31-03-2021					debasree.phl@peerlesshotels.com		
000135	Kaizen Hotels & Resorts Ltd.	60000 E	01	700013			1 "12 Jawaharial Nehru Road,"	Kolkata							31-03-2021					feedback@kaizenhotels.co.in		
000136	Arindam Mukherjee	3392 E	01	700019			1 "37A, Ballygunge Place,"	Kolkata							31-03-2021					arindam1999@gmail.com		
000137	Poddar Projects Ltd.	218240 E	01	700001			1 "18 Rabindra Sarani,"	Kolkata							31-03-2021					peerless1932@gmail.com		
000142	Bella Couto	1280 E	01	400016			1 "401-A, Our Lady of Vailankanni,"	"Marinagar, Mahim, "	Mumbai		Ivan Couto				31-03-2021					coutoivem@hotmail.com		
000143	Filomena Furtado	640 E	01	700031			1 "H. No.37,Sirlim, Mazilvaddo, "	"Dramapur, Salcete,"	Goa						31-03-2021							
000144 000145	Kalyan Kumar Maitra Bhaswandas Gordhandas Kapadia	2304 E 2560 E		700031 400057			1 "74, Bank Colony, " 1 "Rose Terrace, Room No.1, Subhash Road, "	"Dhakuria," Vile Parle	Kolkata Mumbai						31-03-2021 31-03-2021					subhadipmaitra77@gmail.com		
	Melvin Inildo Aguiar	2560 E 640 E	01	400057			1 "Kose Terrace, Koom No.1, Subhash Koad, " 1 "Sadar Ponda "	Goa	Mumbai		Pallavi Bgagwar				31-03-2021 31-03-2021					bgk19950@gmail.com		
000146	Melvyn Jolido Aguiar Zena Ditosa Pereira	640 E	01	403401			1 "39/1A, Gaunsavaddo, P.O. Siolim, Bardez."	Goa			Doreen Parpetu				31-03-2021 31-03-2021					doreen.simoes@email.com		
000150	Caetano de Loiola Pereira	4480 E	01	403716			1 382/B Manddo Pulvaddo Benaulim Salcete	Goa			Fr. Joaquim Lois				31-03-2021					caetanolo@vahoo.com		
000150	Nandita Saha	640 F	01	700048			1 "38/17 S.K. Dev Road "	Kolkata			FI. JOAGUIIII LUII				31-03-2021					Cartanoibiivanioo.com		
000151	John Santano Paulo Carvalho	640 E	01	403604			1 "House No.59. Murda. Pequeno."	"Nuvem. Salcete."	Goa						31-03-2021					iohnson.carvalho@talk21.com		
000153	Madhu Lodha	640 E	01	700019			1 "6/1/2. Queen's Park. "	Kolkata							31-03-2021					pmadhulodha@yahoo.co.in		
000158	Arehya Kusum Chatteriee	9600 E	01	0		Physical	1 "South Station Road, P.O. Agarpara, "	Dist. North 24 Parganas			Arupiyoti Chatt				31-03-2021					arghya1944@gmail.com		
000159	Arghya Kusum Chatterjee	16000 E	01	0		Physica	1 "South Station Road, P.O. Agarpara, "	Dist. North 24 Parganas			Gargi Chatterjei				31-03-2021					arghya1944@gmail.com		
000160	Pamela Chatterjee	40533 E	01	700109		Physical	1 "17, South Station Road, "	"P.O. Agarpara, "	"Dist. 24 Parganas, Kolkata"						31-03-2021					pam-chatterjee@hotmail.co.uk		
000162	ENAKSHI BANERJEE	80 E	01	700091		Physica	1 BL 395 SALT LAKE CITY	KOLKATA							31-03-2021							
000126	Gajanan Bapoo Pawar	640 E	01	700029		Physica	1 "Flat 3B, 190 Sarat Bose Road,"	Calcutta							31-03-2021					capgaja@yahoo.co.uk		
000127	Jayashree Roy	640 E	01	700029			1 "Lake Tower, 87"	"Southern Avenue, "	Calcutta						31-03-2021					jayashreeroy2011@gmail.com		
000131	Kajal Chatterjee	31360 E	01	0			1 "South Station Road, P.O. Agarpara, "	Dist. 24 Parganas (N)			Kuntal Kumar C				31-03-2021							
000132	Kajal Chatterjee	4800 E	01	0			1 "South Station Road, "	"P.O. Agarpara,"	Dist. 24 Parganas (N)		Piyali Chatterje				31-03-2021							
000138	Soumendra Kumar Dutta	3200 E	01	711112			1 "P.O. GIP Colony. "	"A T Ghosh Road. Jagacha."	Dist. Howrah						31-03-2021					soumendrakumardutta@email.com		
000141	Patrocinia Gomes	768 E	01	403703			1 "112. Panzorcone. P.O. Cuncolim. Salcete."	Goa							31-03-2021					elviseomes11@email.com		
000147 000148	Partha Sarathi Sen Nonata Rodrigues	1280 E 640 E	01	786602			Ram Naear P.O. Dulialan Dist. Dibruzarh     43 Mazilwaddo Sirlim P.O. Dramapur Salcete	Assam							31-03-2021 31-03-2021					partha.mann@email.com rajrod688@gmail.com		
000148	Ashit Kumar Chatterjee	3200 E	01	700030			1 "2/Z, B K Paul Lane, Dum Dum,"	Kolkata			Arupjyoti Chatt				31-03-2021					arghya.194@gmail.com		
000154	Robin Abhilit Chatteriee	81067 E	01	700104			1 C/o B Bandopadhyay	46 Prantik Phase III HousingKolkata	Welfards M.C. Doord		Arappyoti Chate				31-03-2021					robinchatterjee2003@gmail.com		
000156	Saugata Sen	6400 E	01	700053			1 "374, Block - G, New Alipore, "	Kolkata	Kalitala M G Road		Sreela Sen				31-03-2021					robindratterjeezoos@gman.com		
000129	Parikshit Paul	640 E	01	700033			1 8/15 Bejoygarh (1st Floor)	"P.O. Jadavpur University, "	Calcutta		Bata Krishna Pa				31-03-2021					paul parikshit@rediffmail.com		
000119	Gavatri Chatteriee	6400 E	01	0			1 "South Station Road. "	"P.O. Agarpara."	Dist. North 24 Parganas		Arup Jyoti Chati				31-03-2021					arghya1944@gmail.com		
	3755 DENZILLOBO	200 E	01	453441 10 0	1104000073574 INDUSTRIAL D RED CROSS 452259004 Y	Nsdl	2 BUNGALOW 107	POST OFFICE	ROAD MHOW INDORE	MHOW.MADHYA PRADESH		HOSPITAL	PREMISES	453441 AG	PL4387P 31-03-2021 2	8 CYPRIAN LOBO			IBKL00000153	LOBO.DENZIL/PREDIFFMAIL.COM		
	7847 GWEN LOBO	3000 E	01		3768560 CENTRAL BAN MHOW. 452016012 Y	Nsdl	2 BUNGALOW NO. 107. POST OFFICE ROAD.	MHOWINDORE		MHOW MADHYA PRADESH					PL0131P 31-03-2021 2	3 JEROME GUERRA				LOBO.DENZILFROMMHOW@GMAIL.COM		
IN3005131443	4786 AGNELO XAVIER FERNANDES	300 E	01		1210110009696 BANK OF INDI-BICHOLIM BRAN 403013003 Y	Nsdl	2 H NO 53 AUCHIT WADO	BICHOLIM		GOA			BICHOLIM		PF3941M 31-03-2021 2		08322362847			virginiaferns@rediffmail.com		
IN3005132358	19334 AGNELO XAVIER FERNANDES	120 E	01		402070000007 UNION BANK! DULER MAPUCA 403026015 Y	Nsdl	2 53 AUCHIT WADA	BICHOLIM BICHOLIM		NORTH GOA GOA	ANNA MARIA IS				PF3941M 31-03-2021 2	8 ANNA MARIA I FERNANDES	2362847	AAKPF8562G		virginiaferns@rediffmail.com	virginiaferns@rediffmail.com	
IN3005138587	0265 MARIA DA GRACA DE SOUZA	100 E	01	403504 10 1	1210100004491 BANK OF INDI/ BICHOLIM BRAN 403013003 Y	NsdI	2 53 AUCHIT WADA	ВІСНОЦІМ ВІСНОЦІМ ВІСНОЦІМ	NORTH GOA	NORTH GOA GOA				403504 C	1PD9754P 31-03-2021 2	3 CAETANO XAVIER DE SOUZA				iewdee28@gmail.com		
	3580 LILIANA MARIETTE V FERNANDES	100 E	01		102010201993 UNION BANK! MAPUCA 403026004 Y	Nsdl	2 H NO 53 AUCHIT VADDO	BICHOLIM	BICHOLIM NORTH	BICHOLIM GOA					PF0159F 31-03-2021 2	3 IVO FERNANDES			UBIN0537012	fernandesivomo@gmail.com		
	6671 INVESTOR EDUCATION AND PROTECTION FUND AUT		19		3002100573425 PUNJAB NATIC 5, SANSAD MARI 110024076 Y	Nsdl	2 JEEVAN VIHAR BUILDING	3, PARLIAMENT STREET		NEW DELHI					MPTCATG 31-03-2021 2		23361980			sao_iepfa@mca.gov.in		
	8769 ROBIN SAVIO ALVARES	640 E	01		0142000005375 CITIZENCREDI' DARVESH ROYAL 400209014 Y	NsdI	2 SD 6D SEA BREEZE	ST JOHN BAPTIST ROAD	BANDRA WEST	MUMBAI	FARAH SOLI DAI	OPP KOTAK MAHINDRA BANK			(PA3178P 31-03-2021 2	1 DENZIL JOHN ALVARES		AEBPD5052L		alvaresrobin@gmail.com	farahdaruwalla@gmail.com	
	IB007 VANITA BHIMANI	3000 E	01		10100001935 BANK OF BARC LANSDOWNE Mi 700012022 Y	Nsdl	2 NO 25/A	SARAT BOSE ROAD	4 A SINDU APARTMENT	KOLKATA		NO 63/3/B SARAT BOSE ROAD			APB1928A 31-03-2021 2	3 VADILAL K BHIMANI	24546943	24745553		yatin bhimani@hotmail.com		
IN3061141132	9648 CUSTODIAN OF ENEMY PROPERTY FOR INDIA	27392 B	09	400001 11 3	02127235 STATE BANK 0 M M B MUMBAI 400002010 Y	Nsdl	2 KAISAR I HIND BUILDING	CURRIMBHOY ROAD	BALLARD EASTATE	MUMBAI		SAMACHAR MARG	HORNIMAN CIRCLE FORT	400023 E	MPTCATG 31-03-2021 2				SBIN0000300	mayank.saxena@sbicaps.com		