

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U66010WB1932PLC007490

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCT3043L

(ii) (a) Name of the company

THE PEERLESS GENERAL FINAN

(b) Registered office address

PEERLESS BHAVAN,3 ESPALANDE EAST,  
KOLKATA  
West Bengal  
700069  
India

(c) \*e-mail ID of the company

K.BALASUBRAMANIAN@PEERL

(d) \*Telephone number with STD code

03322483247

(e) Website

www.peerless.co.in

(iii) Date of Incorporation

25/10/1932

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(b) CIN of the Registrar and Transfer Agent

U74140WB1994PTC062959

Pre-fill

Name of the Registrar and Transfer Agent

CB MANAGEMENT SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

P-22 BONDAL ROAD.  
KOLKATA

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	PEERLESS FINANCIAL PRODUC	U70109WB1987PLC042819	Subsidiary	100
2	PEERLESS HOSPITEX HOSPITAL	U85110WB1989PLC046938	Subsidiary	93.64
3	PEERLESS HOTELS LTD.	U55101WB1990PLC049988	Subsidiary	99.95
4	PEERLESS SECURITIES LTD	U67120WB1995PLC067616	Subsidiary	97.54
5	PEERLESS FINANCIAL SERVICES	U65993WB1988PLC044077	Subsidiary	94.11
6	PEERLESS COMMODITIES LIMIT	U74900WB2013PLC196191	Subsidiary	98.6
7	BENGAL PEERLESS HOUSING D	U70101WB1994PLC063280	Joint Venture	36.7

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	3,500,000	3,315,584	3,315,584	3,315,584
Total amount of equity shares (in Rupees)	350,000,000	331,558,400	331,558,400	331,558,400

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	3,500,000	3,315,584	3,315,584	3,315,584
Nominal value per share (in rupees)	100	100	100	100
Total amount of equity shares (in rupees)	350,000,000	331,558,400	331,558,400	331,558,400

#### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

#### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

#### (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			

<b>At the beginning of the year</b>	3,216,732	98,852	3315584	331,558,400	331,558,400	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
<b>At the end of the year</b>	3,216,732	98,852	3315584	331,558,400	331,558,400	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
<b>Decrease during the year</b>	0	0	0	0	0	0

i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

☒ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>
Date of registration of transfer (Date Month Year)		<input type="text"/>
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred			Amount per Share/ Debenture/Unit (in Rs.)		
Ledger Folio of Transferor					
Transferor's Name					
	Surname		middle name		first name
Ledger Folio of Transferee					
Transferee's Name					
	Surname		middle name		first name

Date of registration of transfer (Date Month Year)						
Type of transfer		1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock				
Number of Shares/ Debentures/ Units Transferred			Amount per Share/ Debenture/Unit (in Rs.)			
Ledger Folio of Transferor						
Transferor's Name						
	Surname		middle name		first name	
Ledger Folio of Transferee						
Transferee's Name						
	Surname		middle name		first name	

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	0	0	0	0
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

2,345,499,047

**(ii) Net worth of the Company**

18,425,815,759

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,220,523	36.81	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	

	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,017,861	30.7	0	
10.	Others	0	0	0	
	<b>Total</b>	2,238,384	67.51	0	0

**Total number of shareholders (promoters)**

10

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	530,288	15.99	0	
	(ii) Non-resident Indian (NRI)	124,800	3.76	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	



6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	330,720	9.97	0	
10.	Others Investor Education & Protec	91,392	2.76	0	
	<b>Total</b>	1,077,200	32.48	0	0

**Total number of shareholders (other than promoters)**

61

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

71

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	10	10
Members (other than promoters)	152	61
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	1	1	1	20.16	9.79
<b>B. Non-Promoter</b>	3	5	3	5	0	0
(i) Non-Independent	3	2	3	2	0	0
(ii) Independent	0	3	0	3	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	4	6	4	6	20.16	9.79

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SUSIM MUKUL DATTA	00032812	Director	0	
SUNIL KANTI ROY	00043966	Managing Director	668,448	
BHARGAB LAHIRI	00043772	Managing Director	0	
DEEPAK KUMAR MUK	00046690	Director	0	
PARTHO SAROTHY D/	00040345	Director	0	
JAYANTA ROY	00022191	Director	324,640	
DIPANKAR CHATTERJ	00031256	Director	0	
SOUMENDRA MOHAN	01125409	Director	0	
SAMAR BHATTACHAR	00044294	Whole-time directo	0	
ASOKE KUMAR MUKH	00173745	Whole-time directo	0	
ASOKE KUMAR MUKH	AEMPM5995E	CFO	0	
KRISHNAMURTI BALA	ACYPB5961B	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Extraordinary General Meet	06/08/2020	108	7	40.62
Annual General Meeting	28/12/2020	108	15	67.65

**B. BOARD MEETINGS**

\*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	06/08/2020	10	6	60
2	19/09/2020	10	9	90
3	20/11/2020	10	8	80
4	16/12/2020	10	10	100
5	31/03/2021	10	10	100

**C. COMMITTEE MEETINGS**

Number of meetings held

15

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	17/09/2020	3	3	100
2	Audit Committee	20/11/2020	3	2	66.67
3	Audit Committee	15/12/2020	3	3	100
4	Audit Committee	30/03/2021	3	3	100
5	Nomination and Remuneration Committee	15/09/2020	3	3	100
6	Nomination and Remuneration Committee	16/12/2020	3	3	100
7	Stakeholders Relationship Committee	17/03/2021	3	2	66.67
8	Corporate Social Responsibility Committee	18/09/2020	4	3	75
9	Corporate Social Responsibility Committee	07/01/2021	4	3	75

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
10	Corporate Soc	17/03/2021	4	3	75

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	24/09/2021
								(Y/N/NA)
1	SUSIM MUKU	5	4	80	6	6	100	No
2	SUNIL KANTI	5	3	60	7	1	14.29	Yes
3	BHARGAB LA	5	5	100	9	9	100	Yes
4	DEEPAK KUM	5	4	80	13	13	100	Yes
5	PARTHO SAR	5	4	80	8	7	87.5	Not Applicable
6	JAYANTA RO	5	5	100	1	1	100	Yes
7	DIPANKAR CH	5	3	60	2	1	50	Yes
8	SOUMENDRA	5	5	100	0	0	0	Yes
9	SAMAR BHAT	5	5	100	4	4	100	Yes
10	ASOKE KUMA	5	5	100	2	2	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUNIL KANTI ROY	MANAGING DIF	24,257,230	35,000,000	0	0	59,257,230
2	BHARGAB LAHIRI	DEPUTY MANA	16,476,926	15,000,000	0	0	31,476,926
3	ASOKE KUMAR MU	DIRECTOR-FIN	10,365,482	7,500,000	0	0	17,865,482
4	SAMAR BHATTACH	DIRECTOR-COI	9,460,118	7,500,000	0	0	16,960,118
	Total		60,559,756	65,000,000	0	0	125,559,756

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	KRISHNAMURTI B	COMPANY SEC	5,303,585	0	0	0	5,303,585
	Total		5,303,585	0	0	0	5,303,585

Number of other directors whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SUSIM MUKUL DA	CHAIRMAN	0	2,500,000	0	225,000	2,725,000
2	DEEPAK KUMAR M	INDEPENDENT	0	2,000,000	0	315,000	2,315,000
3	PARTHO SAROTH	INDEPENDENT	0	1,500,000	0	225,000	1,725,000
4	SOUMENDRA MOH	INDEPENDENT	0	1,500,000	0	195,000	1,695,000
5	JAYANTA ROY	DIRECTOR	0	1,500,000	0	225,000	1,725,000
6	DIPANKAR CHATT	DIRECTOR	0	1,500,000	0	150,000	1,650,000
	Total		0	10,500,000	0	1,335,000	11,835,000

## XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

## XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☒ Yes ☐ No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SAURABH BASU

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

14347

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ... 7 dated 25/10/2021

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

BHARGA  
B LAHIRI

DIN of the director

00043772

**To be digitally signed by**

KRISHNAMURTI  
BALASUBRAMA  
NIAN

- ☒ Company Secretary  
☐ Company secretary in practice

Membership number

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

#### List of attachments

Shareholder list with Note.pdf  
MGT 8.pdf  
NOTE.pdf  
Committee Meeting details.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

# THE PEERLESS GENERAL FINANCE & INVESTMENT COMPANY LIMITED

CIN (U66010WB1932PLC007490)

PERIOD – 01/04/2020 - 31/03/2021

## ADDITIONAL INFORMATION IN RESPECT OF THE COMPANY WHICH COULD NOT BE FILLED UP IN FORM MGT – 7 FOR THE RELEVANT PERIOD

### 1. Para IX ( C ) of MGT – 7 Committee Meetings

SL. No	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Number of members attended	% of attendance
11.	Corporate Social Responsibility Committee	26.03.2021	4	3	75
12.	Investment Committee	15.12.2021	4	4	100
13.	Banking Committee	17.03.2021	3	2	66.67
14.	IT Strategy Committee	06.08.2020	4*	3**	75
15.	IT Strategy Committee	09.10.2020	4*	4**	100

**\*\*Director-Members**

**\*\*Director-Members present**

Certified to be a true Copy  
For & on behalf of  
The Peerless General Finance  
& Investment Co. Ltd.

Company Secretary

F4428

The Peerless General Finance & Investment Company Limited

Peerless Bhavan, 3, Esplanade East, Kolkata 700 069 • Phones : 033-2230 3740, 2248 3001/3247, 2243 0325/6758  
Fax : 033-2248 5197 • Email : feedback@peerless.co.in • Website : www.peerless.co.in  
CIN : U66010WB1932PLC007490



**Peerless**  
Smart Financial Solutions





**Saurabh Basu**

ACS, ACMA, MBA (Fin)

Practising Company Secretary

Insolvency Professional

**S BASU & ASSOCIATES**

Company Secretaries

Code No.- S2017WB456500

10/6/2 Raja Rammohan Roy Road,  
3<sup>rd</sup> Floor, Kolkata - 700008

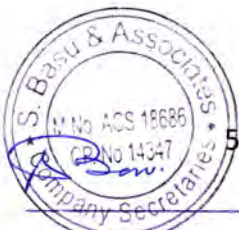
**FORM NO. MGT.8**

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]*

We have examined the registers, records, books and papers of M/s **THE PEERLESS GENERAL FINANCE & INVESTMENT COMPANY LIMITED (CIN: U66010WB1932PLC007490)** having registered office at **Peerless Bhavan, 3 Espalande East, Kolkata 700069** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2021 (Year under Review). In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. As per records available and explanation provided, during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
  1. Its status under the Act : **Active** ;
  2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
  3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time ;
  4. Calling/convening/holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed
  5. Closure of Register of Members/Security holders, as the case may be.



# Continuation Sheet

**S BASU & ASSOCIATES**  
Company Secretaries  
Code No.- S2017WB456500

6. Advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act--- *There were no such instances during the year under review ;*
7. Contracts/arrangements with related parties as specified in section 188 of the Act;
8. Issue or allotment or transfer or transmission or buy back of securities/redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/securities and issue of security certificates in all instances – *There were no such instances during the year under review;*
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the act --- *There were no such instances during the year under review ;*
10. Declaration/payment of dividend; transfer of unpaid/unclaimed dividend/other amounts as applicable to the investor education and protection fund in accordance with Section 125 of the act;
11. Signing of audited financial statement as per the provisions of section 134 of the act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/appointment/re-appointments/retirement/filling up casual vacancies/ disclosures of the directors, key managerial personnel and the remuneration paid to them--- *During the year under review the company has complied with provisions relating to Constitution/appointment/re-appointments/retirement/ disclosures of the directors, Key Managerial Personnel and the remuneration paid to them. There was no instances of filling of casual vacancy of Directors and Key Managerial Personnel*
13. Appointment/reappointment/filling up casual vacancies of auditors as per the provisions of section 139 of the act---- *During the year under review the company has complied with the provisions relating to appointment /re-appointments of Auditor as per provisions of Section 139 of the Act. There was no instance of filling up of casual vacancy of Auditors ;*
14. Approvals required to be taken from the central government, tribunal, regional director, registrar, court or such other authorities under the various provisions of the act;





# Continuation Sheet

**S BASU & ASSOCIATES**

Company Secretaries

Code No.- S2017WB456500

15. Acceptance/renewal/repayment of deposits--During the year under review the Company has not accepted/ renewed any deposit . However, the company has made repayment of deposits. The Company has transferred Rs. 125.55 Million during the period under review unclaimed/unpaid amount of deposit of the Company to the Investor Education and Protection Fund (IEPF) as and when due
16. Borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable - There were no such instances during the year under review ;
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act There were no such instances during the year under review ;
18. Alteration of the provisions of the memorandum and/or articles of association of the company -There were no such instances during the year under review ;

Place: Kolkata  
Date: 17.11.2021

For S Basu & Associates  
Company Secretaries  
Firm Registration No : S2017WB456500

  
Saurabh Basu  
Proprietor

ACS: 18686 ; C.P.: 14347  
Peer Review No : 1017/2020  
UDIN: A018686C001458223

# Continuation Sheet

**S BASU & ASSOCIATES**  
Company Secretaries  
Code No.- S2017WB456500

## "ANNEXURE A"

The Certificate (MGT 8) for the financial year ended 31<sup>st</sup> March, 2021 of even date is to be read along with this Annexure:

1. Maintenance of Secretarial records is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records, systems, standards and procedures based on our verification and observation.
2. We have followed the general practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done in some cases on the test basis to ensure that correct facts are reflected in Secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. We have not verified the correctness and appropriateness of financial records and books of accounts of the Company.
4. The Hon'ble Calcutta High Court on 10<sup>th</sup> July, 2019 had stayed all operations of IEPF Authority regarding penal interest. According to Management, this stay order is continued as no further order is being given by Hon'ble Calcutta High Court in the matter.
5. The Company has filed compounding petitions before competent Authority of MCA pursuant to letter of MCA dt 19.09.20 seeking certain clarification on Inspection carried on by MCA u/s 206(5) of the Companies Act, 2013
6. Where ever required, we have obtained the Management representation about compliance of laws, rules and regulations and happenings of events etc.

Place: Kolkata  
Date: 17.11.2021

For S Basu & Associates  
Company Secretaries  
Firm Registration No : S2017WB456500



ACS: 18686 ; C.P.: 14347  
Peer Review No : 1017/2020  
UDIN: A018686C001458223

**NOTE**

**With reference to IV (d) (i) in the Form MGT 7**

At the beginning of the year the actual share capital break up was as follows:

Physical holding : 3216946

Demat holding : 98638

Total holding : 3315584

At the end of the year the actual share capital break up was as follows:

Physical holding : 3216732

Demat holding : 98852

Total holding : 3315584

**The change in holding is due to dematerialisation of physical shares during the year.**

In the Form, same figures for physical holding and demat holding, both at the beginning of the year and at the end of the year, had to be mentioned since none of the columns was applicable to the Company to enter the changes.

Certified to be a true Copy  
For & on behalf of  
The Peerless General Finance  
& Investment Co. Ltd.

Company Secretary

F 4428

**The Peerless General Finance & Investment Company Limited**

Peerless Bhavan, 3, Esplanade East, Kolkata 700 069 • Phones : 033-2230 3740, 2248 3001/3247, 2243 0325/6758  
Fax : 033-2248 5197 • Email : feedback@peerless.co.in • Website : www.peerless.co.in  
CIN : U66010WB1932PLC007490



**Peerless®**  
Smart Financial Solutions



## NOTE

The Shareholder details of The Peerless General Finance & Investment Company Limited as on 31.03.2021, as received from the Company's Registrar and Share Transfer Agents, C B Management Services Private Limited is attached.

Certified to be a true Copy  
For & on behalf of  
The Peerless General Finance  
& Investment Co. Ltd.

Company Secretary

F 4428

**The Peerless General Finance & Investment Company Limited**

Peerless Bhavan, 3, Esplanade East, Kolkata 700 069 • Phones : 033-2230 3740, 2248 3001/3247, 2243 0325/6758  
Fax : 033-2248 5197 • Email : [feedback@peerless.co.in](mailto:feedback@peerless.co.in) • Website : [www.peerless.co.in](http://www.peerless.co.in)  
CIN : U66010WB1932PLC007490



**Peerless<sup>®</sup>**  
Smart Financial Solutions

LIST OF SHAREHOLDERS AS ON 31-03-2021

000007	Nabendra Chandra Saha	1200 E	01	700007	Physical	"135, Raja Brajendra Narayan Roy Street,"	Calcutta			31-03-2021
000017	Birendra Chandra Das Gupta	30 E	01	0	Physical	"1 C/O M.N.P. Roy Venerers,"	P.O. Jevonre			31-03-2021
000049	Domènec Francisco Romàno Rosas Saldana	30 E	01	400050	Physical	"Golden Palace, 4th Floor,"	Bombay			31-03-2021
000050	Diogo Francisco Xavier Fernandes	20 E	01	013054	Physical	"House No.53, Auchte,"	"Vaddo, Bicholim,"	Goa		31-03-2021
000052	Anacleto Rey, Magaz Fernandes	2500 E	01	0	Physical	"Flat M1 34 Housing Board Colony Porviri	Bardez	Goa		31-03-2021
000058	Adelina Catarina Pereira	640 E	01	403725	Physical	1 Villa Lourdes House No.179 Ormondston P.O. Dr	Salecote	Goa		31-03-2021
000099	Tushar Kant Roy	256 A	07	700029	Physical	"Lake Towers,"	"Bf Southmore,"	Calcutta		31-03-2021
000102	Shikha Roy	81600 E	01	700029	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000103	George Mancoz Loyola Coutinho	1200 E	01	403601	Physical	1 C/o L.J. Coutinho BEM PAX Vidyanagar Colony Lane-1	Asselem Alto Margao	Goa		31-03-2021
000104	Minral Kant Chhab	896 E	01	413145	Physical	1 186/18 BASANTA BABU ROAD	P.O. KANDHAKARMA	Dist. NORTH 24 PARGANAS		31-03-2021
000105	Hector Antonio Fernandes	640 A	07	0	Physical	"Sandeite,"	Calcutta			31-03-2021
000107	R. Gagar	179200 E	01	700029	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000110	Tulin Kant Chhab	22500 E	01	700030	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000119	Bhagwat Developers Pvt Ltd	112400 E	01	700038	Physical	"14/8 S Noy Road,"	Kolkata			31-03-2021
000120	Chinnay Kumar Chaudhuri	320 E	01	700091	Physical	"181, 395, Salt Lake City,"	Kolkata			31-03-2021
000121	Jayanta Roy	12400 E	01	000111	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000122	Shikha Holdings Pvt. Ltd	830132 E	01	700001	Physical	1 Room No.4 H 526 Diamond Heritage 5th Floor 16 Strand	Kolkata			31-03-2021
000123	Buchita Holdings Pvt. Ltd	327600 E	01	700001	Physical	1 Room No.4 H 526 Diamond Heritage 5th Floor 16 Strand	Kolkata			31-03-2021
000124	Debasree Roy	108256 E	01	700029	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000126	Albertina Mendes	640 E	01	700034	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000127	Vasica Vaidya (Bhiman)	200 E	01	700001	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000128	Theresa Mendes	640 E	01	700034	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000129	Keceli Zaver	640 E	01	700039	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000130	Surya Jyoti Chaudhuri	150 E	01	700091	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000121	Erice Carvalho E Lobo	640 E	01	400008	Physical	1 Nand Sham Flat 62E 6th Floor Bhausahe	Parake Road Dahisar (West)	Bombay		31-03-2021
000122	Basant Modak	758 E	01	700038	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000124	Sumi Kant Roy	68448 E	01	700029	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000125	Jayant Dattatraya Pratapsara Sardesai	3200 E	01	403711	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000126	Pradip Kumar Paul	640 E	01	700032	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000133	Shikha Roy	3019 E	01	700029	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000134	Debasree Roy	34304 E	01	700038	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000135	Kaizen Hotels & Resorts Ltd.	60000 E	01	700033	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000136	Anirudh Mulherjee	3330 E	01	700039	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000137	Poddar Projects Ltd	128300 E	01	700031	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000142	Bella Couto	1200 E	01	400016	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000143	Ritendra Furtado	640 E	01	700039	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000144	Kajvan Kumar Maltra	2104 E	01	700031	Physical	"19/17 Southern Avenue,"	Calcutta			31-03-2021
000145	Bhagwanadas Gorhandas Kapadia	2500 E	01	400017	Physical	"19/17 Southern Avenue,"	Calcutta			31-0